

Attorney's Docket No.: 120, 1-011002 / SP-9 US CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lee A. Mizzen

Art Unit : 1631

Serial No.: 08/977,787

Examiner: M. Zeman

Filed Title

: November 25, 1997

IMMUNE RESPONSES USING COMPOSITIONS CONTAINING STRESS

PROTEINS

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. Applicants also wish to bring to the Examiner's attention the following co-pending applications:

- U.S. Application No. 09/001,737, filed December 31, 1997;
- U.S. Application No. 09/207,388, filed December 8, 1998;
- U.S. Application No. 09/468,041, filed December 21, 1999;
- U.S. Application No. 09/498,918, filed February 4, 2000;
- U.S. Application No. 09/613,303, filed July 10, 2000;
- U.S. Application No. 09/733,179, filed December 7, 2000;
- U.S. Application No. 09/756,543, filed January 8, 2001;
- U.S. Application No. 09/761,534, filed January 16, 2001;
- U.S. Application No. 09/891,823, filed June 26, 2001;
- U.S. Application No. 09/932,483, filed August 17, 2001; and
- U.S. Application No. 10/068,059, filed February 5, 2002.

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

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This statement is being filed after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 12071-011002.

Respectfully submitted,

Attorney's Docket No.: 12071-011002 / SP-9 US CIP

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